

#2761

Attorney's Docket No.: 5214P001R

Patent Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of:

Kuriacose Joseph, et al.

Application No.: 09/672,523

Filing Date: September 27, 2000

For: APPARATUS FOR TRANSMITTING AND RECEIVING EXECUTABLE

APPLICATIONS AS FOR A

MULTIMEDIA SYSTEM, AND SYSTEM

TO ORDER AN ITEM USING A

DISTRIBUTED COMPUTING SYSTEM

Examiner: Kalinowski, A.

Art Group: 2761

RECEIVED

APR 0 1 2002

Technology Center 2100

Assistant Commissioner for Patents

Washington, D.C. 20231

LI Sir:

INFORMATION DISCLOSURE STATEMENT

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class n postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231	nail with sufficient
on March 22, 2002	
Date of Deposit	
Sarah M. Montgomery	
Name of Person Mailing Correspondence Signature 3/22/02 Date	

03/28/2002 SSESHE1 00000124 09672523

01 FC:126

180.00 OP



Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of

the appropriate p	paragraph):
	37 C.F.R. §1.97(b).
_X	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
X	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e); and
	(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.
If there	are any additional charges, please charge Deposit Account No. 02-2666.
	Respectfully submitted,
	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: <u>03/2//,</u> 2002

Andre L. Marais

Registration no. 48,095

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 947-8200



Attorney's Docket No.: 5214P001R

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of:						
Kuriacose Joseph, et al.	Examiner: Kalinowski, A.					
Application No.: 09/672,523	Art Group: 2761					
Filing Date: September 27, 2000						
For: APPARATUS FOR TRANSMITTING						
AND RECEIVING EXECUTABLE APPLICATIONS AS FOR A	RECEIVED					
MULTIMEDIA SYSTEM, AND SYSTEM TO ORDER AN ITEM USING A	APR 0 1 2002					
DISTRIBUTED COMPUTING SYSTEM	FILK 0 = 2002					
DISTRIBUTED COMPUTING SYSTEM	Technology Center 2100					
	Citation Form PTO-1449 together with copies of					
the documents cited on that form. It is respectfully rec	quested that the cited documents be considered and					
that the enclosed copy of Information Disclosure Citat	tion Form PTO-1449 be initialed by the Examiner					
to indicate such consideration and a copy thereof retur	ned to applicant(s).					
Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to						
be construed as a representation that a search has been made and is not to be construed as an admission						
that the information cited in this statement is material	to patentability.					
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on						
Date of Deposit Sarah M. Montgomery						
Name of Person Mailing Correspondence						



the appropriate paragraph):

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of

		37 C.F	C.R. §1.97(b).
	<u>X</u>		F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is f the following:
×		A stat	ement pursuant to 37 C.F.R. §1.97(e) or
	<u>X</u>	A che	ck for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
			F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement e following:
		(1)	A statement pursuant to 37 C.F.R. §1.97(e); and
		(2)	A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.
	If there a	re any a	dditional charges, please charge Deposit Account No. 02-2666.
			Respectfully submitted,
			BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Dated:	03/2/	//, 2002	André L. Marais Registration no. 48 095

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 947-8200

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
The Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known				
Application Number 09/672,523				
Filing Date	09/27/2000			
First Named Inventor	Kuriacose Joseph			
Art Unit	2761			
Examiner Name	Kalinowski, A.			
Attorney Docket Number	5214P001R			

	U.S. PATENT DOCUMENTS						
Examiner Initials		Document Number Number - Kind Code ² (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	US: 3,803,491	04.09.1974	Osborn			
	2	∪s- 3,891,792	06.24.1975	Kimura			
	3	US- 4,264,925	04.28.1981	Freeman et al.			
	4	US- 4,323,922	04.06.1982	den Toonder et al.			
******	5	US- 4,528,589	07.09.1985	Block et al.			
******************	6	US- 4,724,521	02.09.1988	Carron et al.	RECEIVED		
····	7	US- 4,851,994	07.25.1989	Toda et al.	HEULIVED		
	8	us- 4,935,870	06.19.1990	Burk, Jr. et al.	_ 0 1 0002		
~~~~	9	us- 4,937,784	06.26.1990	Masai et al.	APR 0 1 2002		
	10	US- 4,965,825	10.23.1990	Harvey et al.			
	11	US- 4,991,011	02.05.1991	Johnson et al.	Technology Center 2		
	12	US- 5,003,591	03.26.1991	Kaufman et al.	10011110110		
	13	us- 5,036,314	07.30.1991	Barillari et al.			
	14	us- 5,129,080	07.07.1992	Smith			
	15	US- 5,132,992	07.21.1992	Yurt et al.			
	16	US- 5,191,410	03.02.1993	McCalley et al.			
	17	US- 5,191,573	03.02.1993	Hair			
	18	US- 5,195,092	03.16.1993	Wilson et al.			
	19	US- 5,204,947	04.20.1993	Bernstein et al.			
	20	us- 5,233, 654	08.03.1993	Harvey et al.			

	FOREIGN PATENT DOCUMENTS							$\neg$
Examiner Initials	Cite No ¹	e Foreign Patent Document			Foreign Patent Document Publication Date Name of Patentee or		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6
	21	EP	0 145 063	A2	06.19.1985	International Standard Electric Corporation		
	22	EP	0 145 063	B1	06.19.1985	International Standard Electric Corporation		
	23	EP	0 570 683	A2	11.24.1993	International Business Machines Corporation		
****	24	EP	0 570 683	A3	11.24.1993	International Business Machines Corporation		
	25	EP	0 583 186	В1	02.16.1994	Queniat, Jean-François		
	26	EP	0 399 300	A2	11.28.1990	Hitachi, LTD.		
	27							
	28				The second of the second secon			
	29					***************************************		
	30						***************************************	

Examiner	Date
Signature	Considered
	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

00

Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached

Sheet

PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

2

(use as many sheets as necessary)

of

Complete if Known 09/672,523 Application Number 09/27/2000 Filing Date Kuriacose Joseph First Named Inventor Group Art Unit 2761 Kalinowski, A. **Examiner Name** 5214P001R Attorney Docket Number

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS Technology Cel				
Examiner Cite Initials* No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
31	Green N, Hedger J, "ORACLE on Independent Television" Sept. 1976.			
32	Hedger, J, "Broadcast Telesoftware: Experience with ORACLE" Online conferences Ltd., 1980.			
33	Ammar M H, Wong JW, "The Design of Teletext Broadcast Cycles" Elsevier Science Publishers B.V., 1985 (Received May 13, 1984). [Wong's group, Dept of Computer Science, University of Waterloo, Ontario, Canada]			
34	Gifford D K, Lucassen J M, Berlin S T, "The Application of Digital Broadcast Communication to Large Scale Information Systems" IEEE Journal on Selected Areas in Communications, Vol. SAC-3, No. 3, May 1985 (received Sept. 19, 1984). [MIT lab, Gifford's group]			
35	Dec 1986 (Neceived April 19 1986).	1		
36	Herman G, Gopal G, Lee K C, Weinrib A, "The Datacycle Architecture for Very High Throughput Database Systems" Proceedings of Association for Computing Machinery Special Interest Group on Management of Data, 1987 Annual Conference, San Francisco, May 27-29, 1987 [Bell Lab, Herman & Gopal's group, Bell Communications Research, Inc , Morristown, NJ ]			
37				
38	Bowen T F, Goapl G, Herman G, Hickey T, Lee K C, Mansfield W H, Raitz J, Weinrib A, "The Datacycle Architecture" Communications of the ACM, Vol. 35, No. 12, Dec. 1992.			
39	Antonelli C J, Honeyman P, "Integrating Mass Storage and File Systems", Proceedings, Twelfth IEEE Symposium on Mass Storage Systems, April 26-29, 1993, Monterey, CA.			
40	Zdonik S, "Are "Disks in the Air" Just Pie in the Sky?" IEEE, Workshop on Mobile Computing Systems and Applications, Santa Cruz, CA, Dec. 1994. [Brown's lab, Zdonik's Group, Dept. of Computer Science, Brown Univ., affiliated with Dept. of Computer Science, University of Maryland]			
41	Acharya S, Alonso R, Franklin M, Zdonik S, "Broadcast Disk: Data Management for Asymmetric Communication Environments" Proceedings of the ACM SIGMOD International conference on Management of Data, San Jose, CA May 1995 (first published in Brown University Technical Report CS-94-43, October 1994).			

Examiner	Date
Signature	Considered

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

PTO/SB/08A (10-01)

Approved for use through 10/31/2002 OMB 0651-0031,
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

Complete if Known				
Application Number	09/672,523			
Filing Date	09/27/2000			
First Named Inventor	Kuriacose Joseph			
Art Unit	2761			
Examiner Name	Kalinowski, A.			
Attorney Docket Number	5214P001R			

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No ¹	Oncument Number  Number - Kind Code ² (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	US- 5,343,239	08.30.1994	Lappington et al.		
***************************************	2	us- 5,440,744	08.08.1995	Jacobson et al.		
	3	us- 5,539,449	07.23.1996	Blahut et al.		
	4	US- 5,548,532	08.20.1996	Menand et al.		
	5	US- 5,600,364	02.04.1997	Hendricks et al.		
Construent of the State Construent Statement	6	US- 5,666,293	09.09.1997	Metz et al.	RECEIVED	
	7	US- 5,729,549	03.17.1998	Kostreski et al.	ULOF! A L	
	8	US- 5,734,589	03.31.1998	Kostreski et al.	200 1 2002	
Z	9	us- 5,768,539	06.16.1998	Metz et al.	APR 0 1 2002	
Commence of the Section of the Commen	10	US- 5,805,825	09.08.1998	Danneels et al.	0400	
E-11-11-11-11-11-11-11-11-11-11-11-11-11	11	US- 5,822,324	10.13.1998	Kostresti et al.	Technology Center 2100	
	12	US- 5,826,166	10.20.1998	Brooks et al.		
	13	us- 5,951,639	09.14.1999	MacInnis		
	14	us- 5.973.684	10.26.1999	Brooks et al.		
	15	US- 5,978,855	11.02.1999	Metz et al.		
A STATE OF THE PARTY OF THE PAR	16	US- 6,130,898	10.10.2000	Kostreski et al.		
	17	US- 6,185,585 B1	02.06.2001	Sequeira		
	18	US- 6,195,364 B1	02.27.2001	Brodigan		
	19	US- 6,222,530 B1	04.24.2001	Sequeira		
	20	US- 5,936,659	08-10-1999	Viswanathan et al.		

	FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No. ¹	Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 5 (ff known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	<b>T</b> 6		
	21		,					
	22		AURICA	<u></u>				
	23							
	24							
	25		***************************************					
	26		·					
	27							
	28		***************************************		A			
	29							
	30				l			

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901 04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible 6 Applicant is to place a check mark here if English language Translation is attached.

/	OIPE	
7	MAR 2 6 2002	
	TRADEMARKS OF	Und

PTO/SB/08B (10-01)

Approved for use through 10/31/2002 OMB 0551-0031 U.S Patent and Trademark Office: U.S DEPARTMENT OF COMMERCE

der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Substitute for form 1449B/PTO

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) 4 of

Complete if Known				
09/672,523				
09/27/2000				
Kuriacose Joseph				
2761				
Kalinowski, A.				
5214P001R				
	09/672,523 09/27/2000 Kuriacose Joseph 2761 Kalinowski, A.			

Examiner Initials	Cite	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	31	Acharya S, Alonso R, Franklin M, Zdonik S, "Dissemination-based Data Delivery Using Broadcast Disks" IEEE Personal Communications, Vol. 2(6), 50-60, 1995.	
	32	Imielinski T, Viswanathan S, Badrinath B R, "Power Efficient Filtering of Data on Air" Advances in Database Technology - EDBT '94, Proceedings, 4th International conference on Extending Database Technology, Cambridge, United Kingdom, March 28-31, 1994 [Rutger's group, Imielinski's lab, Dept of Computer Science, Rutgers University]	
	33	Imielinski T, Viswanathan S, Badrinath B R, "Energy Efficient Indexing on Air" Proceedings of the 1994 ACM SIGMOD, International Conference on Management of Data, Minneapolis, Minnesota, May 24-27, 1994.	
	34	Imieliski T, Badrinath B R, "Mobile Wireless Computing" Communications of the ACM, Vol. 37, No. 10, October 1994.	
***************************************	35	Dr. Hisham El-Shishiny, et al., "A Regional Broadcast-Centric Education System", IEEE Symposium on Computers and Communications, Alexandria, Egypt, July 1-3, 1997.	
	36		
	37	RECEI APR 0-1	
	38	Technology Ce	
	39		
	40	B	
	41		

Examiner	Date
Signature	Considered

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered Include copy of this form with next communication to applicant

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

TRANFIN

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

Substitute for form 1449A/PTO

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 5 of

Complete if Known				
Application Number	09/672,523			
Filing Date	09/27/2000			
First Named Inventor	Kuriacose Joseph			
Art Unit	2761			
Examiner Name	Kalinowski, A.			
Attorney Docket Number	5214P001R			

			U.S. PATI	ENT DOCUMENTS	
Examiner Initials		Document Number  Number - Kind Code ² (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 6,240,448 B1	05-29-2001	Imielinski et al.	
	2	us- 5,706,435	01-06-1998	Barbará et al.	
	3	us- 5,168,356	12-01-1992	Acampora et al.	
	4	US- 5,581,704	12-03-1996	Barbará et al.	
	5	US-			
	6	US			25051155
	7	US-			RECEIVED
	8	US-			
	9	US-			APR 0 1 2002
	10	US-			
	11	US-			Technology Center 2100
	12	US-			lectiliology deficer 210
	13	us-			
	14	US-			
	15	us-			
	16	US-			
	17	US-			
	18	US-			
	19	US-			
	20	US-			

	FOREIGN PATENT DOCUMENTS								
Examiner Initials		Foreign Patent Document  Country Code3 -Number4 - Kind Code5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6			
	21								
	22		The second secon						
	23			**************************************					
	24		Account of the second of the s						
	25								
	26								
	27		and the second second second desired and the second second of the second						
	28			Constitution of the second of					
	29								
	30								

Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

1 Applicant's unique citation designation number (optional) 2 See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3) 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible 6 Applicant is to place a check mark here if English language Translation is attached. English language Translation is attached

Burden Hour Statement. This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.